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# Geographical variation in the vertical distribution of cod (*Gadus morhua* L.) and availability to survey gears

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9 **Boonchai K. Stensholt**

10 *Institute of Marine Research*  
11 *P.O. Box 1870 N-5817, Bergen, Norway*  
12 *Phone: 47(Norway)-55-23-8667*  
13 *Fax: 47(Norway)-55-23-8687*  
14 *E-mail: [boonchai@imr.no](mailto:boonchai@imr.no)*  
15

16 **Eivind Stensholt**

17 *The Norwegian School of Economics and Business Administration,*  
18 *Helleveien 30, N-5045 Bergen, Norway*  
19  
20

21 **Abstract**

22  
23 Vertical density distributions of cod (*Gadus morhua* L.) are expressed in  
24 terms of their free vertical range to normalize for the variation in vertical  
25 extent that is related to change of pressure with depth. Thus the relative  
26 cumulative vertical profiles obtained from different sources and from  
27 places with different bottom depth become compatible for a discussion of  
28 the relationship between the vertical distribution and other environmental  
29 conditions. Of particular interest are the environmental conditions that  
30 influence the cod's vertical distribution and thereby cause a large  
31 discrepancy between availabilities of fish to different survey gears, and  
32 how these discrepancies and conditions vary with season and location.  
33 Such information may be used to assess bias and variance in the  
34 estimation of fish abundance. Analysis of acoustic and trawl catch data  
35 from demersal fish surveys in the Barents Sea during winter and summer  
36 show that in deep waters or along the polar front a large fraction of fish  
37 are found to distribute high in the water column and acoustic surveys  
38 detect more fish than the trawl surveys. When the density distribution  
39 stretches beyond mid-water, this typically happens at the warm side  
40 along the polar front, in agreement with the data storage tag records.  
41 Acoustic samples with large loss in the acoustic bottom dead zone are

42 found consistently over years around the Svalbard Bank. Loss in the  
43 acoustic bottom dead zone is estimated by combining information in the  
44 vertical profiles from acoustic and tag data.  
45

46

## 47 **Key words**

48

49 *acoustic bottom dead zone, capelin, cod, data storage tag, free vertical range, pelagic*  
50 *living, polar cod, vertical distribution.*

51

52

## 53 **1. Introduction**

54

55 Acoustic dead zone loss and avoidance reactions to vessel and survey  
56 gear are major factors causing uncertainty in the abundance estimates of  
57 demersal physoclistous fish based on acoustic and trawl survey data.  
58 (Harden Jones and Scholes, 1981; Ona and Godø, 1990; Aglen, 1994;  
59 Aglen, 1996; Ona and Mitson, 1996; Aglen *et al.*, 1999; Hjellvik *et al.*,  
60 2002). The catch in a bottom trawl is partly fish in the acoustic bottom  
61 dead zone and partly fish from a zone where they could be detected by  
62 acoustics. However, the fraction of fish from each zone is not known.  
63 Moreover, these fractions vary according to the vertical distribution  
64 patterns and behaviour of the target species. Fish modify their distribution  
65 and behaviour, within their own physiological limitation, in response to  
66 different environmental conditions, which vary over different locations and  
67 seasons (Neilson and Perry, 1990; Stensholt *et al.*, 2002). Therefore  
68 availability of fish to each survey gear varies accordingly. Thus spatial  
69 and temporal variation in environmental conditions contributes to the bias  
70 and variance in abundance estimates. Understanding these processes  
71 may lead to a better survey design and analytical methods to assess and  
72 reduce this part of the variance and bias.

73

74 In this paper we analyse and discuss the similarities and  
75 discrepancies between acoustic, trawl, and data storage tag (DST) data  
76 of northeast Arctic cod (*Gadus morhua* L.) in relation to geographical  
77 location and environmental condition. A method for estimating the data  
78 loss in the acoustic bottom dead zone based on acoustic and DST data is  
79 proposed.  
80

81

## 82 **2. Material and methods**

83

84 Environmental and physiological factors influencing vertical profiles are  
85 investigated by analysis of acoustic, trawl, and temperature data together  
86 with depth and temperature time series from data storage tags (DST).

87 Tags were attached to adult northeast Arctic cod (53-81 cm) released into  
88 the Barents Sea in mid March 1996. Acoustic samples, which reflect the  
89 vertical distribution of all length groups combined, trawl and temperature  
90 samples are from four series of annual scientific surveys in the Barents  
91 Sea, namely, (1) summer demersal fish surveys during July-August, 1995  
92 to 2001 (Aglen, 1999), (2) winter demersal fish surveys during  
93 February-March, 1996 to 2002 (Mehl, 1997), (3) 0-group surveys in  
94 August-September, 1996 to 2001 (Anon 1996), and (4) the pelagic  
95 surveys in September-October, 1996 to 2001 (Anon, 2001).

96  
97 For the summer and winter surveys, acoustic values are  
98 allocated, mostly according to species composition from neighboring  
99 bottom trawl, to cod (*Gadus morhua*), haddock (*melanogrammus*  
100 *aeglefinus*), saithe (*Pollachius virens*) and redfish (*Sebastes*), and in  
101 addition to the non-target pelagic species blue whiting (*Micromesistius*  
102 *poutassou*), capelin (*Mallotus villosus*), and herring (*Clupea harengus*)  
103 where their distributions overlap with the target species. The  $s_A$ -values  
104 were aggregated in blocks of 1 nm length and 10 m depth from 10 m and  
105 downward. In demersal surveys, pelagic trawl hauls are few, and are  
106 decided according to acoustic indication. For the 0-group and pelagic  
107 surveys, the prey species of adult cod, e.g. young fish, capelin, herring,  
108 and polar cod (*Boreogadus saida*), are the target species. Their acoustic  
109 samples were aggregated in blocks of 5 nm length and 5 m depth.

110  
111 At a location 's' and for a selected species, say cod, the  
112 theoretical  $s_A$ -value of the trawl catch ( $T_s$ ) (Aglen, 1996) was compared to  
113 the observed acoustic  $s_A$ -value ( $A_s$ ) along the trawl track. Let  $a_s =$   
114  $A_s \cdot (A_s + T_s)^{-1}$ ; then  $a_s < 0.5$  indicates a loss of acoustic value in the bottom  
115 dead zone as the bottom trawl catch exceeds the total observed acoustic  
116  $s_A$ -value.

117  
118 Since cod is a demersal physoclistous fish, i.e. with a closed  
119 swimbladder, the vertical distribution of samples from various depth  
120 ranges is expressed in terms of relative pressure reduction level (RPRL)  
121 with reference to the bottom pressure. A depth of X m is transformed to  
122  $RPRL = (B-X)(B+10)^{-1}$ , where B is the bottom depth. The bottom  
123 restricted free vertical range ( $FVR_{bot}$ ) is defined as a free vertical range  
124 that has its deepest end at seabed (Stensholt *et al.*, 2002). For all bottom  
125 depths, the  $FVR_{bot}$  of cod ranges from  $RPRL=0$  to  $RPRL=0.5$  (Harden  
126 Jones and Scholes, 1985). Thus samples from various bottom depths are  
127 normalized for variations in the extent of the free vertical range. Taking  
128 into account the fish's physiological limitation to rapid vertical movement,  
129 the  $FVR_{bot}$  is a natural yardstick suitable in a discussion, 'from the fish's  
130 point of view', of factors that influence the vertical distribution of demersal  
131 physoclists. A fish that can reach above the  $FVR_{bot}$  must be adapted to

132 'pelagic living' in the sense that its current FVR has departed from the  
 133 seabed (Stensholt *et al.*, 2002). Since the adaptation status is unknown,  
 134 in practice a fish is identified as adapted to pelagic living when it is  
 135 observed above the  $FVR_{bot}$ . For pelagic species the RPRL is sometimes  
 136 calculated with reference to the deepest end of the distribution range  
 137 (Stensholt *et al.*, 2002).

138

139 The availability of fish to survey gears depends on the fish's  
 140 vertical density distribution, which in turn varies with geographic location.  
 141 The variation is visualized by selecting samples which have total acoustic  
 142  $s_A$ -values greater than 5 and satisfy one of the following criteria:

143

- 144 ● *More than 20% in summer survey samples (10% in winter survey*  
 145 *samples) of the observed total  $s_A$ -value allocated to cod distributed*  
 146 *above the  $FVR_{bot}$ , and more than 70% of the observed total  $s_A$ -value*  
 147 *allocated to cod distributed more than 10 m above seabed.*
- 148 ● *The entire distribution is within 20% of the  $FVR_{bot}$  (below  $RPRL = 0.1$ ),*  
 149 *and more than 90% of the observed total  $s_A$ -value allocated to cod is*  
 150 *within 10 m above seabed.*

151

152 At sampling time, some cod adapted to pelagic living happens to be in the  
 153 lower part of their current FVR, which overlaps with  $FVR_{bot}$ . Those cod  
 154 are not counted under criterion 1, and thus the true fraction of cod  
 155 adapted to pelagic living is generally higher than the observed  
 156 percentage.

157

158 According to the ergodicity concept in time series analysis  
 159 (Priestley, 1981) the depth distribution from time series of one tag may  
 160 represent the depth distribution of several fish in the same length group  
 161 migrating within the same kind of environment during the same season.  
 162 For fish of the same length group, the collection over all storage tag  
 163 records and the collection over the different locations of acoustic records  
 164 should therefore produce the same depth distribution in the same season,  
 165 except that a tag includes records from the acoustic dead zone.

166

167 The fraction,  $D$ , of adult cod in the acoustic bottom dead zone  
 168 was estimated from tag and acoustic data. Let  $B$  and  $P$  be the fractions in  
 169 the bottom channel above the dead zone (e.g. < 10 m above seabed) and  
 170 in the pelagic channel (e.g. > 10 m above seabed), respectively. Thus,

171

$$172 \quad D + B + P = 1.$$

173

174 Tag and acoustic data give the fractions  $p_t$  and  $p_a$  in the pelagic channel,  
 175 respectively.

176

177

178 Assume:  $D > 0$ . If  $(1 - p_a) \cdot p_a^{-1} = B \cdot P^{-1}$  and  $p_t = P$ ,

179

180 then  $p_a = P \cdot (B+P)^{-1} = P \cdot (1-D)^{-1} > P = p_t$ .

181

182

183 When  $B \cdot P^{-1}$  is estimated by  $(1 - p_a) \cdot p_a^{-1}$  from acoustic data and  $P$  by  $p_t$   
184 from tag data, then

185

186  $D = 1 - P - P \cdot (B \cdot P^{-1})$  is estimated

187 by  $1 - p_t - p_t \cdot (1 - p_a) \cdot p_a^{-1} = 1 - p_t \cdot p_a^{-1}$ .

188

189 Here  $p_t$  is the depth frequency of tagged fish in the pelagic channel (e.g.  
190 at depth  $> 10$  m above the daily maximal depth) weighted with the  
191 squared fish length, which is theoretically proportional to the acoustic  
192  $s_A$ -value (Foote, 1987). If tag records and acoustic samples are from  
193 water columns with similar environmental conditions, then the vector ( $D$ ,  
194  $B$ ,  $P$ ) is approximately the same, and  $p_a$  greater than or equal  $p_t$ . However  
195 if  $p_a < p_t$ , the tag records and the acoustic samples come from different  
196 vertical distributions.

197

198 The classification of tag observations as 'pelagic channel' or  
199 'bottom channel' including the dead zone gives a smaller number of  
200 borderline cases, and consequently a smaller number of mistakes, than  
201 classification as 'dead zone' or '(just) above dead zone', especially in tags  
202 with low depth resolution. However, both classifications depend on the  
203 daily maximum depth being a reasonable estimate of the bottom depth.

204

205 Day and night are defined by the sun's crossing the height circle  
206  $5^\circ$  below the horizon. Since the storage tags do not record geographic  
207 location, their recorded Greenwich Mean Time (GMT) hours were  
208 converted to day and night hours in the Barents Sea (Table 1) at the 1996  
209 winter and summer survey sampling positions.

209

210

### 211 3. Results

212

#### 213 3.1 Geographic variation of loss in the acoustic bottom 214 dead zone

215

216 Acoustic samples from winter and summer surveys satisfying criterion (1),  
217 with total  $s_A$ -value  $> 5$ , are mainly found along the warm side of the polar  
218 front (Map 1a, 1d, 2a, 2e). Summer samples satisfying criterion (2) are  
219 found consistently mainly around the Svalbard Bank (Map 2b). The  
220 Svalbard Bank is not covered in winter surveys, but winter samples  
221 satisfying criterion (2) are mainly found around the southern coast of the

222 Barents Sea and along the southwest steep edge of Svalbard Bank. Most  
 223 summer and winter samples, with total  $s_A$ -value  $<5$ , show fish distribution  
 224 closer to seabed, but some samples in areas of depth greater than 200 m  
 225 satisfy criterion (1).

226

227 Stations with co-located acoustic and trawl samples, which  
 228 satisfy criterion (1) and have  $a_s > 0.5$ , cluster along the warm side of the  
 229 polar front with depth deeper than 200 m (Map 1b, 2c). Stations that  
 230 satisfy criterion (2) and have  $a_s < 0.5$  as well as stations that have total  
 231 loss in the acoustic bottom dead zone (acoustic  $s_A$ -value equal to 0, but  
 232 large trawl catch) mostly cluster at the Svalbard Bank and coastal areas  
 233 in summer (Map 2d), but show no clear pattern in winter (Map 1c). Large  
 234 or total loss in the acoustic bottom dead zone is more common in summer  
 235 surveys than in winter surveys. Although in summer and autumn both  
 236 acoustic and tag data show that a significant proportion of cod is found  
 237 above  $FVR_{bot}$  (Figure 1a, 2b, 2c, 2e, 2f, Table 1), a significant proportion  
 238 of cod is also found in the acoustic bottom dead zone, as verified by trawl  
 239 data. During the winter survey most cod distribute within  $FVR_{bot}$  (Figure  
 240 1b), but at the same time above the acoustic bottom dead zone. Results  
 241 above are supported by the vertical profiles from DSTs (Figure 2; Table 1  
 242 and 2). Thus one should expect acoustic stock estimates to be more  
 243 reliable in winter than in summer.

244

### 245 3.2 An estimate of the acoustic bottom dead zone loss

246

247 Acoustic bottom dead zone loss is estimated on the basis of six tagged  
 248 cod and acoustic samples from winter 1997. In winter, tagged cod have  
 249 daily maximal depths mainly  $> 200$ m and the weighted frequency is  $p_t =$   
 250  $42.9\%$  ( $43.5\%$  without weighting). Acoustic  $s_A$ -values for bottom depth  $>$   
 251  $200$  m give  $p_a = 63.3\%$  (Stensholt *et al.*, 2002). Estimated loss is  $D = 1 - p_t$   
 252  $p_a^{-1} = 1 - 429(633^{-1}) = 32.2\%$ . For comparison,  $28.9\%$  of the depth  
 253 observations in the tags are within 2 m above the daily maximal depth  
 254 ( $34\%$  at night and  $18.7\%$  at day). A 2 m zone is chosen because the  
 255 depth resolution in the tag records is between 1.3 m and 2 m. With bottom  
 256 depth 'd' meters, the estimated effective height lost due to the dead zone  
 257 is  $0.875 + 0.004d$  (Aglen, 1996).

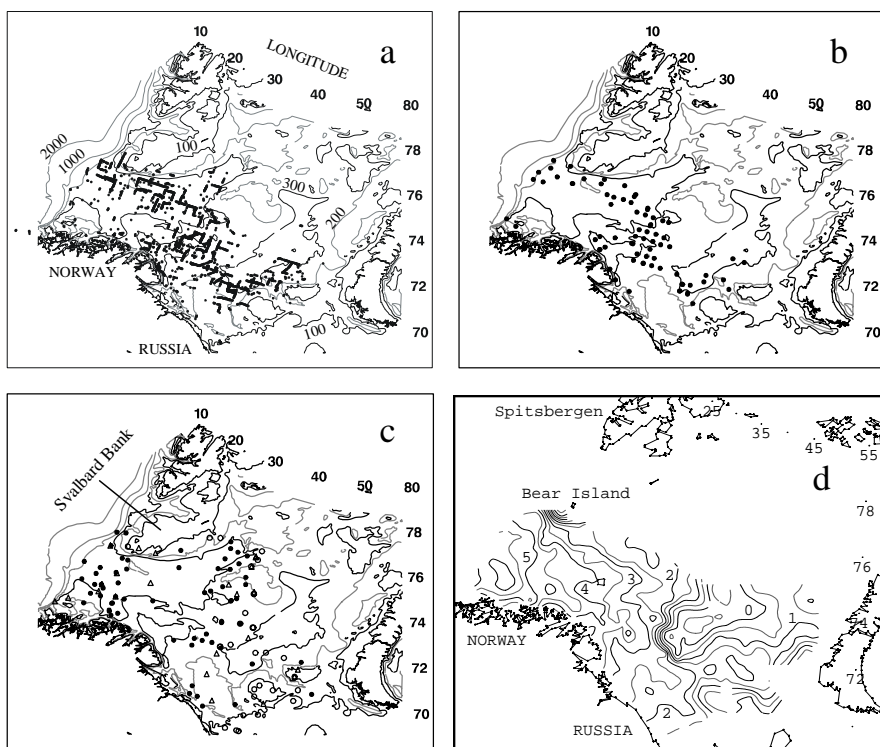
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**Table 1.** For each month is shown the number of observations of cod above one bottom restricted free vertical range ( $FVR_{bot}$ ), using daily maximal depth as bottom depth. Total (number of) tags; P = number of tags with at least one depth recorded above the  $FVR_{bot}$ .

Month year	Total tags	P	2-hour intervals in GMT											Day -time hours			
			0 to 1	2 to 3	4 to 5	6 to 7	8 to 9	10 to 11	12 to 13	14 to 15	16 to 17	18 to 19	20 to 21		22 to 23		
Apr 96	12	2	1	-	-	1	-	-	-	-	-	-	-	-	-	-	0-20
May 96	12	1	2	-	-	-	1	-	-	-	-	-	2	3	2	-	0-24
Jun 96	12	1	3	1	1	1	-	-	2	1	3	2	3	2	-	-	0-24
Jul 96	10	6	6	7	7	6	6	4	6	2	6	9	6	6	-	-	0-24
Aug 96	8	4	1	2	-	-	-	1	-	-	-	1	2	3	-	-	0-21
Sep 96	8	5	9	2	-	2	6	12	6	21	43	39	33	29	-	-	3-17
Oct 96	8	3	7	3	-	-	-	1	1	10	10	11	7	6	-	-	6-15
Nov 96	8	2	-	1	1	1	1	3	1	-	-	-	-	-	-	-	8-13
Dec 96	6	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	none
Jan 97	6	2	1	1	-	-	-	-	2	-	-	-	1	-	-	-	8-13
Feb 97	6	2	1	1	-	-	-	-	-	2	1	-	-	-	-	-	6-15
Mar 97	4	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	4-17

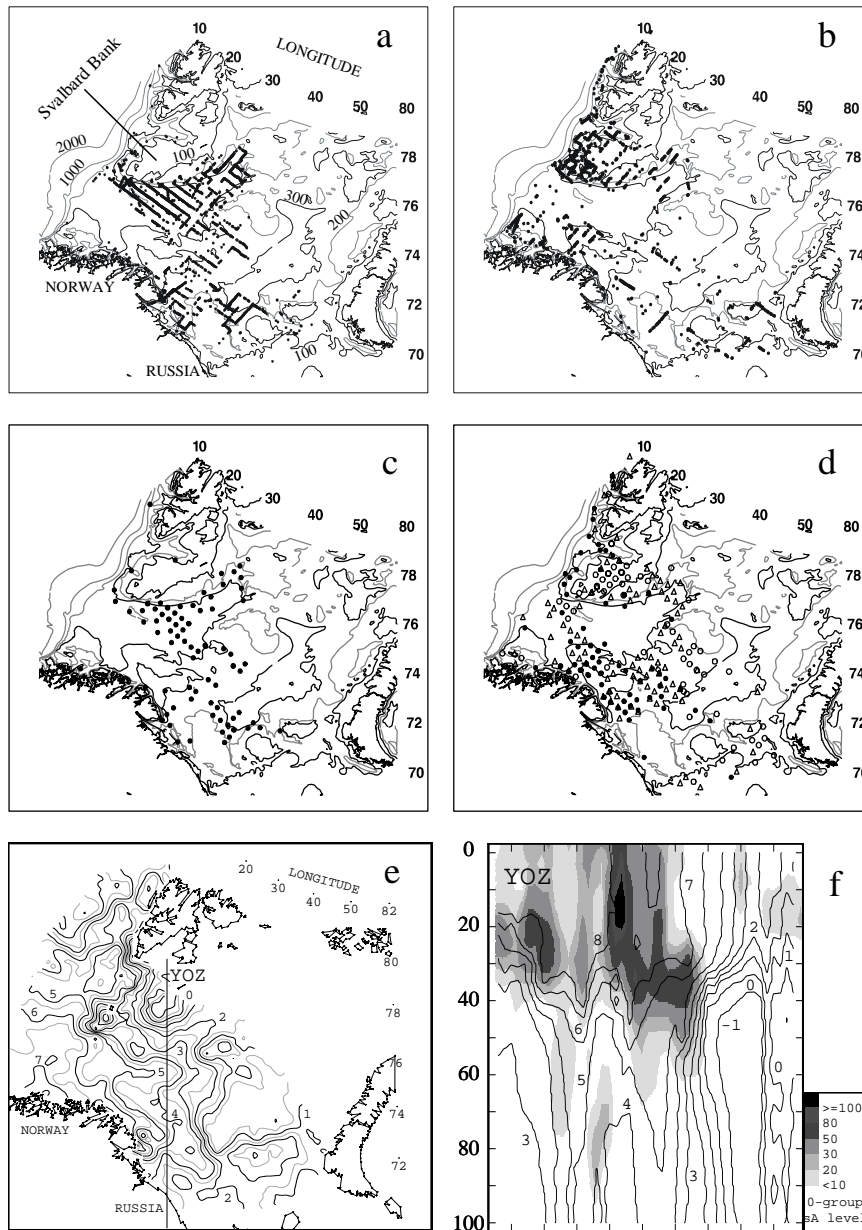
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**Map 1.** Winter surveys 1996 to 2002. Bathymetric maps (in m) with acoustic samples (•) that (a) have total acoustic  $s_A$ -value > 5 and satisfy criterion (1); have total sum of  $s_A$ -values from acoustic and trawl samples > 5 and (b) satisfy criterion (1) and have  $a_s > 0.5$ ; (c) satisfy criterion (2) and have  $a_s < 0.5$ . Circle (○) and triangle (Δ) indicate total loss in acoustic bottom dead zone at the trawl stations where the theoretical  $s_A$ -values from trawl catch is less than 1 and greater than 1, respectively. (d) Temperature distribution at 100m depth shows the Polar front area where Arctic water mass (<0 C°) meet Atlantic water mass (>3 C°) in February-March 1996.



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Map 2. Summer surveys 1995 to 2001. Bathymetric maps (in m) with acoustic samples (•) that have total acoustic  $s_A$ -value > 5 and (a) satisfy criterion (1); (b) satisfy criterion (2); that have total sum of  $s_A$ -values from acoustic and trawl samples > 5 and (c) satisfy criterion (1) and have  $a_s > 0.5$ ; (d) satisfy criterion (2) and have  $a_s < 0.5$ . Circle (○) and triangle (Δ) indicate total loss in acoustic bottom dead zone at the trawl stations where theoretical  $s_A$ -values from trawl catch < 1 and > 1, respectively. (e) Temperature distribution at 100m depth in August-September 1996; (f) vertical distribution of temperature and 0-group acoustic density along YOZ transect as indicated in (e).

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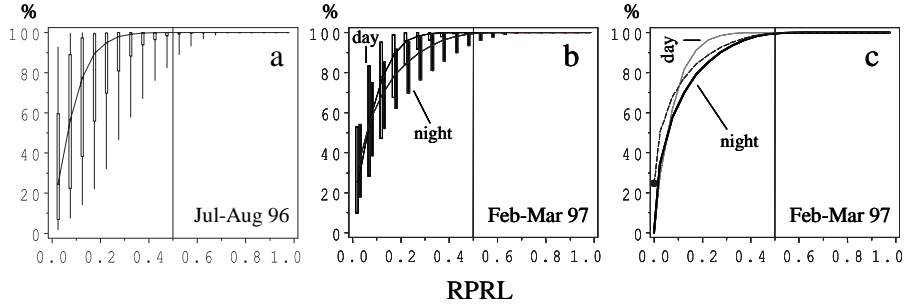


Figure 1. Distribution of relative cumulative vertical profiles of acoustic density of cod from seabed to surface in terms of RPRL, (a) summer survey, 1996; (b) day and night profiles from winter survey 1997, (c) as (b) but with the night median curve (curve with •) adjusted upward for unequal day and night loss in the acoustic bottom dead zone. Lines join the medians. Thin boxes show the inter-quartile range, day - white boxes and night - black boxes. Whiskers show 10-90 percentile ranges. Reference line at 0.5 indicates  $FVR_{bot}$  of cod (RPRL range from 0 to 0.5).

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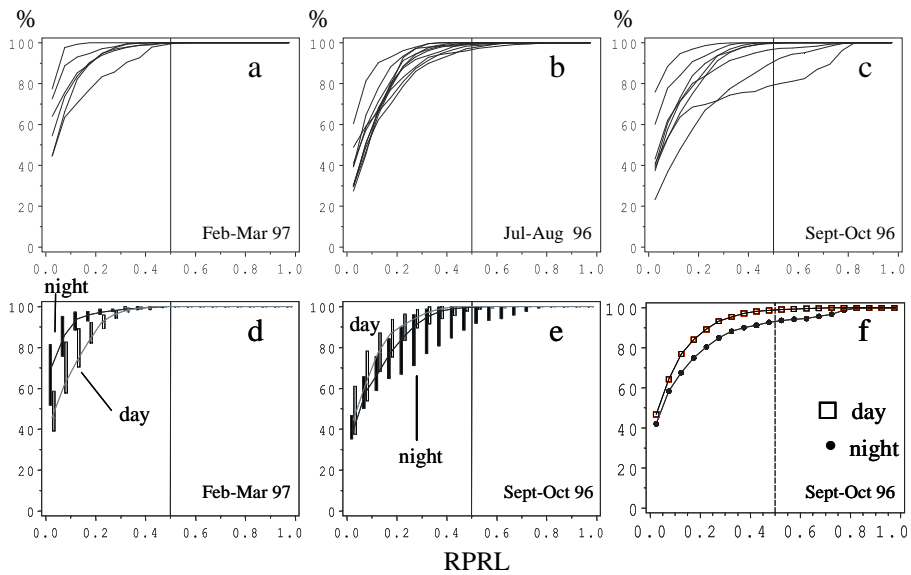


Figure 2. From depth time series of each tagged adult cod. Distribution of relative cumulative frequencies of depth records in terms of RPRL; (a) – (c) each line is the vertical profile for one cod; (d) – (f) day and night distribution for all tagged cod; in (f) after conversion to theoretical  $s_A$ -values. Lines join the medians. Thin boxes show the inter-quartile ranges of the distributions of the cod vertical profiles at the indicated RPRL level, day - white boxes and night - black boxes.

### 3.3 The extent of vertical migration and diurnal pattern

Relative cumulative vertical profiles from acoustic data and from DST both show that cod mainly distribute within the  $FVR_{bot}$ , (Figures 1 and 2), which reflects that a physiological limitation to change of pressure is imposed on its vertical movement. Profiles from both sources show diurnal patterns for cod. Adult, tagged cod have day ascent in winter (Figure 2d) and night ascent in autumn (Figure 2e, 2f). In some years the winter profiles of acoustic samples show a crossing between day and adjusted night median curves (Figure 1c). The winter vertical profiles of tagged cod (Figure 2a, 2d) support the explanation that this crossing is due to small fish ascending at night and large fish ascending during the day (Aglen *et al.*, 1999; Einarsson, 2001; Stensholt *et al.*, 2002). In winter surveys small cod dominate in the pelagic trawl catch, but there is a relatively high component of large cod in the day catch (Figure 3a, 3b). In all surveys large cod are rarely caught in the pelagic trawl hauls above  $FVR_{bot}$  (Figure 3c) compared to the observed fraction in tagged cod. For example, in September, 7.2% of the recorded depths of tagged cod are at depths above  $FVR_{bot}$ ."

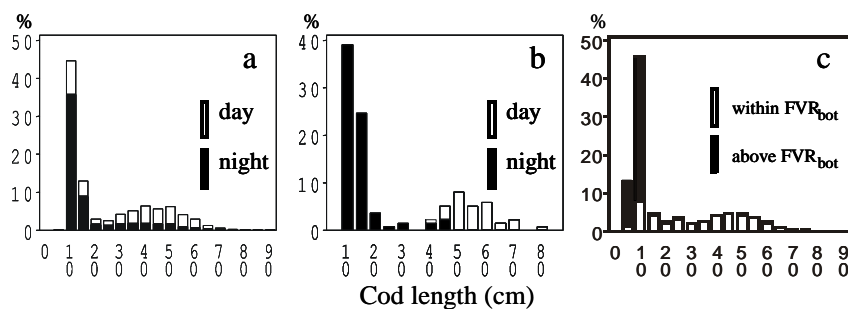
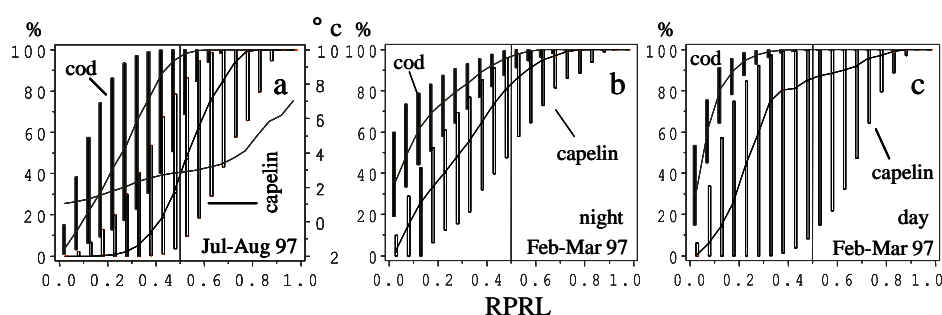


Figure 3. Winter surveys 1995-2002, day and night length distribution of cod caught in pelagic trawls from (a) all pelagic hauls; (b) pelagic hauls with maximal trawl depth above  $FVR_{bot}$ . Pelagic surveys in September (c) length distribution of cod caught in the trawls with maximal trawl depth above  $FVR_{bot}$  - black and within  $FVR_{bot}$  - white.

### 3.4 Modification of cod vertical migration patterns according to prey behaviour

Acoustic samples from summer and winter demersal surveys show that in areas where cod and capelin distributions overlap, a significant proportion of cod adapts to pelagic living (Figure 4). The average temperature there is around 0°C to 3°C and average salinity is around 34.9 to 35.0 ppt. In summer the cod distribute mainly below the thermocline (Figure 4a). In

451 winter a higher fraction of the cod's  $s_A$ -value is found above  $FVR_{bot}$  at  
 452 night than during the day (Figure 4b) and at daytime they are mainly  
 453 within the  $FVR_{bot}$  (Figure 4c). But in winter tagged cod mainly distribute  
 454 within the  $FVR_{bot}$ , ascend mostly during the day and stay close to the  
 455 bottom more often at night (Figure 2d). The profiles of acoustic and  
 456 tagged cod have lower variation in winter than in summer and autumn  
 457 (Figure 1, 2, Table 2). This may be due to cod feeding mostly on a few  
 458 species e.g. capelin (Orlova *et al.*, 2000).



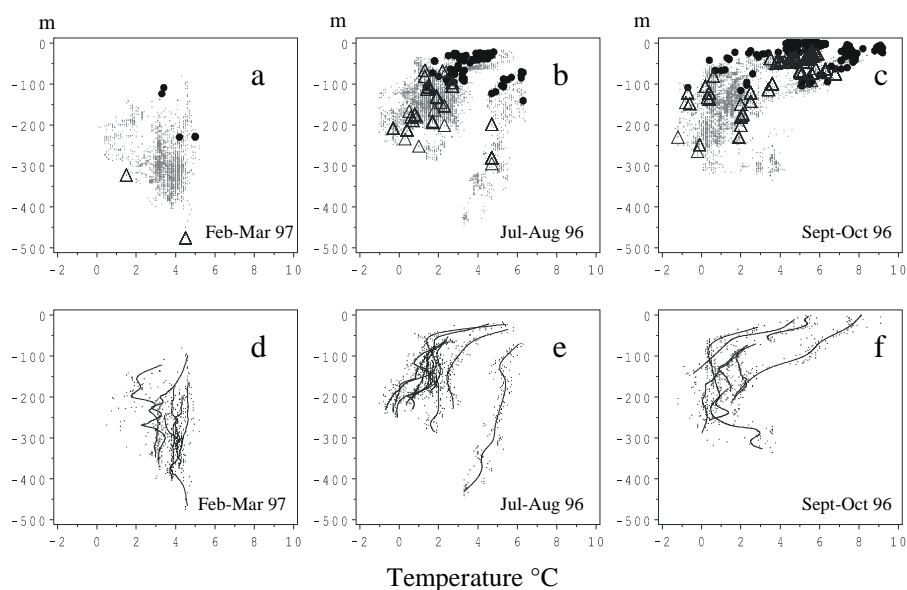
471 **Figure 4.** Distribution, across acoustic samples of cod and capelin where their distributions  
 472 overlap, of relative cumulative vertical profiles of cod acoustic density (black boxes)  
 473 and capelin acoustic density (white boxes), expressed in terms of RPRL with  
 474 reference to the bottom pressure. (a) Summer survey 1997 with average temperature  
 475 profiles. Winter survey 1997, (b) night samples, (c) day samples. Lines join the  
 476 medians and thin boxes show the inter-quartile range of the distribution. Reference  
 477 line at 0.5 indicates one  $FVR_{bot}$  of cod.

480 **Table 2.** For each tagged cod, relative frequency in percent of depth observations  
 481 higher than 10 m above the maximal depth of the day, in April-May 1996,  
 482 June-July 1996, August 1996, September-November 1996, December  
 483 1996-January 1997, and February-March 1997. All, day, and night are for all,  
 484 day, and night records in the season.

Tag no.	Length (cm)	Apr	Jun	Aug	Sep-Nov			Dec	Feb-Mar		
					all	day	night		all	day	night
		May	Jul					Jan			
106	59	39	64	60	35	32	37	-	-	-	-
110	82	64	53	16	59	61	58	-	-	-	-
117	74	49	74	44	29	41	23	24	71	87	63
131	72	51	67	61	63	67	60	35	48	65	31
191	56	45	74	37	67	55	74	50	43	54	32
204	65	51	66	41	43	32	49	30	69	76	65
206	73	41	71	38	25	13	32	27	56	72	44
246	64	26	60	54	58	59	58	26	44	66	33

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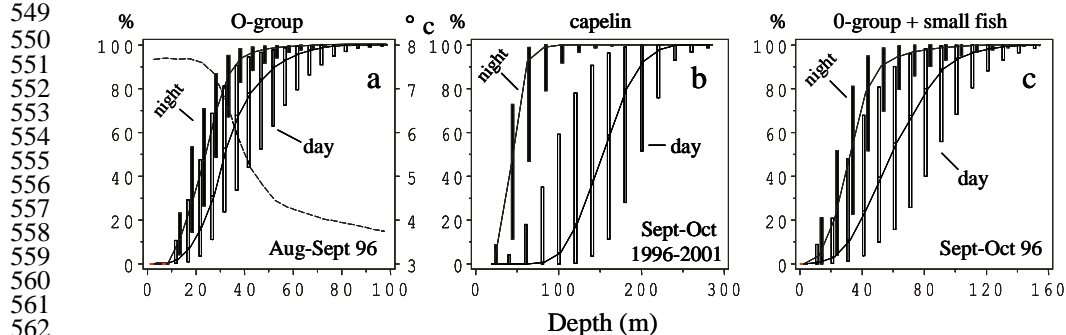
489 In summer capelin migrate across the polar front to feed in the  
 490 Arctic waters until autumn (Gjørseter, 1998). From 670 hauls from the  
 491 pelagic surveys in September-October 1996-2001, 331 hauls contained  
 492 cod which confirmed that cod migrate into the autumn feeding areas of  
 493 capelin and polar cod. In autumn tagged cod stay close to the bottom  
 494 equally often at day and night with large variation among individual  
 495 vertical profiles (Figure 2c, 2e, 2f). A higher proportion of tagged adult cod  
 496 distributes above the  $FVR_{bot}$  in July-October (Figure 2b, 2c, 5b, 5c, 5e, 5f,  
 497 Table 1) than in the rest of the year, e.g. in winter (Figure 2a, 2d, 5a, 5d).  
 498 In July-October, some tagged cod migrate to areas mainly shallower than  
 499 250m. For shorter or longer duration, cod stay in different environments,  
 500 e.g. the polar front ( $-1^{\circ}\text{C}$  to  $3^{\circ}\text{C}$ ) or a coastal front ( $4^{\circ}\text{C}$  to  $6^{\circ}\text{C}$ ) (Figure 5b,  
 501 5c, 5e, 5f, Stensholt and Stensholt, 1999; Stensholt, 2001). Occasionally  
 502 tagged cod adapt to pelagic living and ascend to the warmer water above  
 503 or around the thermocline (Figure 5b, 5c, 5e, 5f). These ascents occur  
 504 mainly just before sunset or at night (Table 1). Common prey for adult cod  
 505 have night ascent and some distribute above or around the thermocline  
 506 e.g. 0-aged fish (Map 2f) (Stensholt and Nakken, 2001), capelin, polar  
 507 cod, herring, and other small fish (Figure 6, 7).



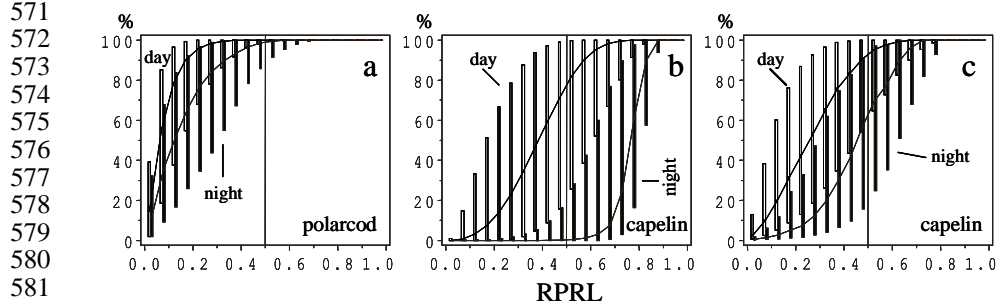
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Figure 5. (a) – (c) Large dot (●) shows depth (m.) and temperature ( $^{\circ}\text{C}$ ) records from above the  $FVR_{bot}$ ; triangle ( $\Delta$ ) shows maximal depth of each day with ascents beyond  $FVR_{bot}$ ; small dot (•) shows depth and temperature distribution from data storage tag records. (d) - (f) Depth-temperature profiles of each cod.

538 Polar cod and capelin have diurnal vertical migration with night  
 539 ascent (Figure 7a, 7b). A majority of samples show that the main  
 540 concentration of capelin is higher up in the water-column than is the  
 541 concentration of polar cod. Capelin have high concentration mainly  
 542 around mid water or within the lower half of the water column at day,  
 543 and they ascend to be mainly above the bottom half of the water column  
 544 at night (Figure 7b). Polar cod mainly distribute within the FVR<sub>bot</sub> (Figure 7a),  
 545 confirming that their closed swimbladder imposes a restriction to rapid  
 546 vertical movement but not so with capelin, an open swimbladder  
 547 (physostomous) fish (Figure 7c).  
 548



564 Figure 6. Distribution of the day and night relative cumulative vertical profiles of  
 565 acoustic  $S_A$ -values of (a) 0-group fish, with median curve of the vertical  
 566 temperature profile; (b) capelin; (c) 0-group and small fish. Lines join the  
 567 medians. Thin boxes show the inter-quartile ranges of the distributions,  
 568 day - white boxes, and night - black boxes.  
 569



582 Figure 7. Distribution, over September 1996-2001 survey samples, of relative  
 583 cumulative vertical profiles of acoustic density in terms of RPRL with  
 584 reference to the seabed (a) day and night distribution of polar cod; (b)  
 585 day and night distribution of capelin; (c) day and night distribution of capelin  
 586 expressed in terms of RPRL with reference to the deepest end of the  
 587 distribution range show that capelin, an open swimbladder, has a wider  
 588 vertical distribution range than cod. Lines join the medians. Thin boxes  
 589 show the inter-quartile range, day - white boxes, and night - black boxes.  
 590

#### 4. Discussion

The vertical density distribution varies with time and the geographical region as shown when the acoustic relative cumulative vertical profiles are classified according to time of the day or season or according to the chosen criteria (1) and (2). An analysis of the environmental conditions of these regions suggests what factors cause the cod to modify its normal vertical distribution.

The polar front, coastal fronts and the thermocline are natural boundaries of the distribution of several fish species in the Barents Sea (Loeng *et al.*, 1992; Gjørseter *et al.*, 1992; Aglen, 1999; Stensholt and Nakken, 2001). Cod and important prey species of cod have high concentrations along these thermal fronts. Some cod prey species distribute pelagically and some are above the thermocline. Diel vertical migration is observed in some prey species of cod and occasionally in cod (Gjørseter *et al.*, 1992; Stensholt and Nakken, 2001; Stensholt *et al.*, 2002). DST records confirm that during April and August-October cod, that adapt to pelagic living, migrate mostly in thermal front regions and occasionally have diel vertical migration (Stensholt, 2001). Samples from winter and summer surveys that satisfy criterion (1), and have acoustic density higher than trawl density, are found mainly along the warm side of the polar front. In winter immature cod concentrate and feed on capelin along the polar front (Aglen, 1999), which is a wintering area of capelin, and it is relatively high in the cod diet composition (Orlova *et al.*, 2000).

Samples from summer surveys that satisfy criterion (2) and have trawl density higher than the acoustic density occur persistently around the Svalbard Bank. The loss in the acoustic bottom dead zone is very high and sometimes total. The bank has a large biological production and has the most complicated hydrography in the Barents Sea (Loeng *et al.*, 1992). Over and around the bank, there is a complicated structure of different water masses, such as melted water from ice, Arctic water, Atlantic water, and Svalbard Bank water, together with oceanic and tidal currents (Midttun, 1989; Gjevik *et al.*, 1990), eddies, thermocline, summer front, and polar front. It is difficult to single out the natural factors that affect the cod's vertical distribution. In areas with strong current, cod and saithe reduce the extent of their vertical distribution and stay mainly within 10 m above the seabed (Stensholt, 2001; Stensholt *et al.*, 2002). In general, current speed is reduced near the seabed. Disagreement between tag and acoustic relative cumulative vertical profiles in areas shallower than 200 m during summer and autumn may indicate an impact of vessel avoidance on acoustic samples (Stensholt *et al.*, 2002). Even so, the reduction of the vertical extensions is not as extreme as in samples found in areas with strong current.

636 In summer surveys, most samples indicate loss in the acoustic  
637 bottom dead zone even though a significant fraction of fish distributes  
638 above  $FVR_{bot}$ , in agreement with tag observations (Table 2). Thus one  
639 must expect larger uncertainty in a stock estimate based on summer  
640 surveys than in one based on winter surveys. To reduce uncertainty, the  
641 survey area should be subdivided into several strata, each stratum  
642 containing stations satisfying specified criteria, such as our criteria 1 or 2.  
643 The assessment method should be modified accordingly within each  
644 stratum.

645  
646 The larger variation among vertical profiles in summer and  
647 autumn than in winter (Table 2) shows that different individuals adapt to  
648 very different foraging strategies for a large variety of prey species  
649 (Orlova *et al.*, 2000). Our results confirm that cod is an opportunistic  
650 feeder, and the seasonal patterns in the cod's spatial distribution and  
651 migration are influenced by its prey species' abundance, spatial  
652 distribution, accessibility, and behaviour (Neilson and Perry, 1990).

653  
654

## 655 5. Conclusion

656

657 The relative cumulative vertical density distributions of cod and its preys,  
658 obtained at different bottom depths from survey and tag data, are  
659 normalized and become comparable when they are expressed in terms of  
660 the free vertical range. Thus, the modification of the fish vertical  
661 distribution, due to the spatial and temporal variation in environmental  
662 conditions other than change of pressure becomes apparent and can be  
663 quantified. This provides information for assessing bias and variance in  
664 the estimation of fish abundance. Acoustic samples with a relatively high  
665 fraction of cod distributed above  $FVR_{bot}$  (5 m or more above midwater)  
666 are found along the warm side of the polar front and in deep water. The  
667 cod's adaptation to pelagic living as well as its diurnal vertical migration is  
668 related to foraging and the distribution of its prey species. Acoustic  
669 samples with relatively high loss in the acoustic bottom dead zone are  
670 found at and around the Svalbard Bank with the most complicated  
671 hydrographical conditions in the Barents Sea. The relative loss in the  
672 dead zone is estimated by  $D = 1 - p_t \cdot (p_a)^{-1}$ , where  $p_t$  and  $p_a$  are the  
673 fractions of cod found in the pelagic zone from tag and acoustic data,  
674 respectively.

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680

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